

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)	Confirmation No.: 4906
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)	Examiner: Daniel S. Felten
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)	Group Art Unit No.: 3624
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APPROACH FOR DETERMINING AN AMOUNT TO BILL A CUSTOMER FOR For:

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The references were cited during the prosecution of the parent application, serial number 09/502,170. Enclosed is a copy of Information Disclosure Citation Form PTO-1449 and it is respectfully requested that the cited documents be considered and be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statementais not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. §1.97(b).

P9208 CIP2

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<u>X</u>	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)
	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	X_ A check for $$180.00$ for the fee under 37 C.F.R. $$1.17(p)$ .
	37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:
	A statement pursuant to 37 C.F.R. §1.97(e)
	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
	1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	AND
	A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
	37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

(1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Respectfully submitted,

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Dated: May	HICKMAN PALERMO TRUONG & BECKER LLP
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	Reg. No. 37,777
2055 Gateway Place, Suite 550 San Jose, California 95110-1089 Telephone: (408) 414-1080 Facsimile: (408) 414-1076	
I hereby certify that this correspondence is being postage in an envelope addressed to Mail Stop At 1450, on May 2005  (Date of Deposit)	deposited with the United States Postal Service as first class mail with sufficien mendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-
Maria Eivers	
(Typed or printed name of person mailin	g correspondence)
(Signature of person mailing co	)
	(respondence)

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(PTO-1449)

 ATTY. DOCKET NO.
 SERIAL NO.

 55218-0511
 09/911,925

APPLICANT:

Ashar Aziz et al.

FILING DATE: July 23, 2001

**GROUP:** 3624

				U.S. PATENT DOCUMENTS		
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Number	Document  Kind Code <sup>2</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,591,967		Mattes et al.	05-27-1986	
		5,163,130		Hullot	11-10-1992	
		5,504,670		Barth et al.	04-02-1996	
		5,574,914		Hancock, et al.	11-12-1996	
		5,590,284		Crosetto	12-31-1996	
		5,659,786		George et al.	08-19-1997	
		5,751,967		Raab et al.	05-12-1998	
		5,819,042		Hansen	10-06-1998	
		5,821,937		Tonelli et al.	10-13-1998	
		5,878,232		Marimuthu	03-02-1999	
		5,951,683		Yuuki et al.	09-14-1999	
		5,974,563		Beeler, Jr.	10-26-1999	
		6,182,139	B1	Brendal	01-30-2001	
		6,219,699	B1	McGloghrie et al.	04-17-2001	
		6,223,218	B1	Iijima	04-24-2001	

Exam. Cite	Fc	reign Patent Doc	ument	Name of Patentee or Applicant	Date of	Pages,	Т6	
Initial*	No.'	Office <sup>3</sup>	Number⁴ k	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1
		EP	0 262 75	0 A2	Thinking Machines Corporation	04-06-1988		
		EP	0 490 62	4 A2	International Business Machines Corporation	06-17-1992		

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

MAY 1 6 2005

ATTY. DOCKET NO.
55218-0511

APPLICANT:
Ashar Aziz et al.

FILING DATE: July 23, 2001

**GROUP:** 3624

	1917			U.S. PATENT DOCUMENTS		
Exam. Cite Initial* No.	U.S. Patent	Document	Name of Patentee or Applicant	Date of Publication of	Pages, Columns,	
initiai*	140.	Number	Kind Code <sup>2</sup> (If known)	of Cited Document	Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear
		6,230,200	B1	Forecast et al.	05-08-2001	
		6,381,321	B1	Brown et al.	04-30-2002	
		6,389,432	B1	Pothapragada et al.	05-14-2002	
		6,446,141	B1	Nolan et al.	09-03-2002	
		6,466,559	B1	Johansson et al.	10-15-2002	
		6,505,229	B1	Turner et al.	01-07-2003	
		6,597,956		Aziz et al.	07-22-2003	
		6,779,016	B1	Aziz et al.	08-17-2004	

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Exam. Cite		Fore	ign Patent Docume	nt	Name of Patentee or Applicant	Date of	Pages,	T <sup>6</sup>
Initial*	No. <sup>1</sup>	Office <sup>3</sup> Code <sup>5</sup> known)	Number⁴ Kind (If		of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1
		EP	0 750 256	A2	Data General Corporation	12-27-1996		
		EP	0 791 881	A1	Compaq Computer Corporation	08-27-1997		
		EP	0 905 621	A1	Lucent Technologies Inc.	03-31-1999	-	
		EP	0 917 056	A2	Digital Equipment Corporation	05-19-1999		
		EP	0 935 200	<b>A</b> 1	NCR International Corporation	08-11-1999		
		WO	97/49214	A1	Netsys Technologies, Inc.	12-24-1997	_	
		WO	99/57957	A2	Apple Computer, Inc.	11-18-1999		
		WO	00/29954	A1	MTI Technology Corporation	05-25-2000		

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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		m 1449A/PTO (Modified) sheets as necessary)	ATTY. DOCKET NO. 55218-0511	SERIAL NO. 09/911,925	='
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MAY 1 6 20			FILING DATE: July 23, 2001	GROUP: 3624	
PADIMAR	<i></i>	OTHER ART – NO PATENT	LITERATURE DOCUMENTS		
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LET item (book, magazine, journal, serial, symposic publisher, city and			Translation <sup>2</sup>
		Fox, Armando, et al., "Cluster-Base		• •	

Initials*	No	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
		Fox, Armando, et al., "Cluster-Based Scalable Network Services," pp. 78-91, Operating Systems Review (SIGOPS), US, ACM Headquarter, New York, Vol. 31, No. 5, December 1, 1997, XP-000771023.	
		Harold, Elliotte Rusty, "XML: Extensible Markup Language," 1998, pp. 1-431.	
		Muller, Nathan J., "Design and Conquer," October 1996, BYTE, vol. 21, no. 10, XP 000683573, pp. 93-98.	
		Prud'hommeaux, Eric, "XML-based HTTP Server Configuration Language," http://www.w3.org/1999/07/9-http-server-conf.html, September 7, 1999, XP-002152599, pp. 1-5.	
		Short, Rob, et al., "Windows NT Clusters for Availability and Scalability," 1997 IEEE, pp. 8-13.	
	-	Vingralek, Radek, et al., "Snowball: Scalable Storage on Networks of Workstations with Balanced Load," pp. 117-156, Distributed and Parallel Databases, Vol. 6, no. 2, April 1998, XP-002162201.	
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.